



Custodian of Good Governance

**FACT SHEET ON THE
FINANCIAL DISCLOSURE
FRAMEWORK FOR THE
2009/2010 FINANCIAL
YEAR**

NOVEMBER 2010

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Annexure A: Submission of Financial Disclosure Forms as at 30 September 2010: National and Provincial Departments.

1. Introduction

Chapter 10 of the Constitution of the Republic of South Africa, 1996, provides, *inter alia*, for the values and principles governing public administration. One of these basic values is that a high standard of professional ethics must be promoted and maintained in the Public Service. Observance of this basic value goes a long way towards eliminating and/or mitigating sources of corruption. To this effect, all members of the Senior Management Service (SMS) are, in terms of Chapter 3 C.1 of the Public Service Regulations, required to disclose to their respective Executive Authorities, particulars of all their registrable interests not later than 30 April each year, in respect of the period 1 April of the previous year to 31 March of the current year. Any person who assumes duty as an SMS member (or a designated employee) after 1 April in a year is required to make such a disclosure within 30 days after assumption of duty in respect of the period of 12 months preceding her/his assumption of duty. The Public Service Regulations further requires of the Executive Authorities to submit copies of the forms on which the designated employees disclosed their financial interests, to the Public Service Commission (PSC) by not later than 31 May of each year.

2. Significance of the Financial Disclosure Framework (the Framework)

The Framework is aimed at preventing conflicts of interest by requiring of senior managers to disclose their financial interests. Senior managers in the Public Service are entrusted with public funds and, therefore, need to maintain the highest standard of professional ethics. Their integrity must be beyond reproach. Hence the need for the Framework.

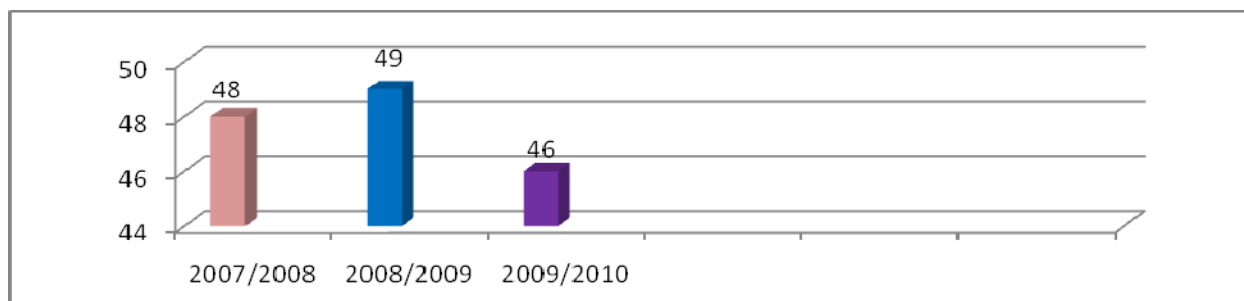
Given the significance of the Framework the need for the effective management thereof is of paramount importance to the PSC. The effective management of the Framework does not only entail keeping record of who submits and who does not submit financial disclosure forms but it entails, most importantly, assessing/scrutinizing the forms to determine potential conflicts of interest. The PSC has, in terms of the Public Service Regulations, the responsibility to assess the financial disclosure forms in order to identify conflicts of interest and advise Executive Authorities accordingly. If financial disclosure forms are not submitted timeously to the PSC, it would not be in a position to detect potential conflicts of interest timeously and this would adversely affect the effective management of the Framework

3. Compliance with the Framework

Every designated employee (senior manager) should, **not later than 30 April** of each year, disclose to the relevant Executing Authority particulars of all her/his registrable interests in respect of the period 1 April of the previous year to 31 March of the year in question. The Executive Authority must in turn submit copies of financial disclosure forms to the PSC by not later than **31 May** of each year. Therefore, the financial disclosure forms for the 2009/2010 financial year should have been with the PSC by **31 May 2010**.

4. Purpose of the Monitoring Fact Sheet

The purpose of this Monitoring Fact Sheet is to give an overview of the compliance with the Framework in respect of the financial year 2009/2010. A statistical analysis of financial disclosure forms that have been received by the PSC is provided. This is the third Fact Sheet to be produced by PSC. A comparison of compliance rates for submission of financial disclosure forms by national and provincial departments by the due date of 31 May for 2007/2008, 2008/2009 and 2009/2010 financial years is illustrated by **Figure 1** below.

FIGURE 1: OVERALL SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY NATIONAL AND PROVINCIAL DEPARTMENTS BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.

The compliance rate for the entire Public Service on or before the due date for the 2008/2009 financial year was 49%. As at 31 May 2010 the PSC had received only 46% of the financial disclosure forms of designated officials for the 2009/2010 financial year. This represents a decrease of three percent (3%) in comparison to the previous financial year (2008/2009). The PSC is concerned about the fact that the level of compliance does not seem to improve. In the 2007/2008 financial year the compliance rate stood at 48%. There was a slight improvement of one percent (1%) in the 2008/2009 where it stood at 49%. Therefore, the submission rate of the disclosure forms by the due date over the last three financial years has never been more than 50%.

5. Submission of financial disclosure forms by 31 May 2010

A breakdown of the number of forms received and outstanding for the 2009/2010 is provided in **Table 1** below.

TABLE 1: SUBMISSION OF FINANCIAL DISCLOSURE FORMS FOR THE 2009/2010 FINANCIAL YEAR AS AT 31 MAY 2010

NATIONAL DEPARTMENT / PROVINCE	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
National Departments	4759	1981	2778	42%
Eastern Cape	578	319	259	55%
Free State	306	56	250	18%
Gauteng	711	152	559	21%
Kwazulu-Natal	515	263	252	51%
Limpopo	440	339	101	77%
Mpumalanga	322	215	107	67%
Northern Cape	199	160	39	80%
North West	280	108	172	39%
Western Cape	312	312	0	100%
OVERALL PROVINCIAL TOTAL	3663	1924	1739	53%
COUNTRY TOTAL	8422	3905	4517	46%

As can be seen from **Table 1** the average compliance rate as at 31 May 2010 is 46% nationwide. The national departments have recorded a low 42%, whereas the average compliance rate in provincial departments stood at 53% by the due date. The PSC commends the Western Cape in achieving a 100% compliance rate. **This is the first time a province has achieved 100% by the due date.** Provinces with high compliance rates other than the Western Cape are Northern Cape (80%) and Limpopo (77%). Provinces with the lowest compliance rate are the Free State (18%), Gauteng (21%) and North West (39%). Although the provinces of the Northern Cape, Limpopo and Mpumalanga fared better as compared to others, they have as yet to achieve a 100% compliance rate by the due date.

The submission rate after the due date is often higher, as most of the disclosure forms are received after the due date. The submission of the financial disclosure forms as at 31 October 2010 is indicated in Annexure A attached to this fact sheet.

There are a number of departments that, in direct contravention of the Framework, miss the deadline of the 31 May on a regular basis. The departments that did not submit the financial disclosure forms by the due date for the 2007/2008, 2008/2009 and 2009/2010 financial years in succession are indicated in **Table 2** below:

TABLE 2: DEPARTMENTS THAT DID NOT SUBMIT FINANCIAL DISCLOSURE FORMS BY THE 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.

NATIONAL DEPARTMENTS
Arts and Culture
Communications
Correctional Services
Human Settlements (<i>formerly known as Housing</i>)
National Prosecution Authority
Rural Development and Land Reform (<i>formerly known as Land Affairs</i>)
Public Works
Health
Statistics South Africa
EASTERN CAPE
Education
FREE STATE
Cooperative Governance and Traditional Affairs and Human Settlements (<i>formerly known as Local Government and Housing</i>)
GAUTENG
Infrastructure Development (<i>formerly part of Public Transport, Roads and Public Works</i>)
Local Government and Housing (<i>formerly separate from each other</i>)
KWAZULU-NATAL
Royal Household
MPUMALANGA
Office of the Premier

In order to address the challenge of non-compliance, the Chairperson of the PSC forwarded a letter to all Executive Authorities. The letter served to remind Executive Authorities of their obligation to submit copies of the disclosure forms to the PSC by the due date. The Executive Authorities were also advised that where they had not received disclosure forms from a designated official by the due date, they should charge such official with misconduct for not complying with the Financial Disclosure Framework, in terms of the Disciplinary Code of Procedures as contained in the SMS Handbook.

6. Progress with the submission of the financial disclosure forms for 2009/2010

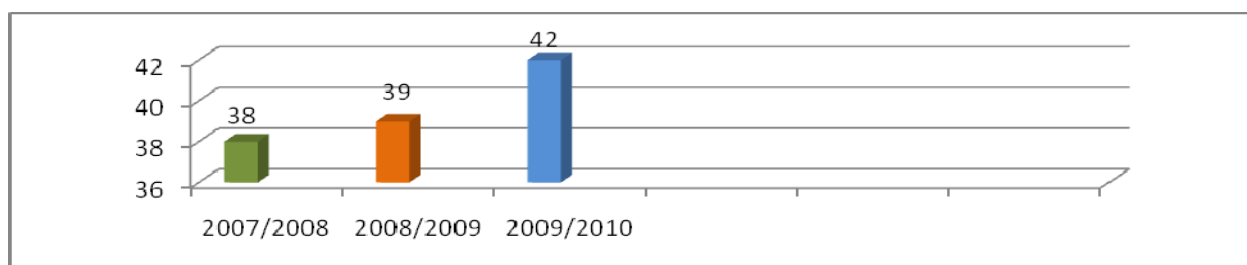
The submission of the financial disclosure forms for 2009/2010 by the due date of 31 May 2010 by National and Provincial departments has slightly decreased from the compliance rate achieved for the 2008/2009 financial year. For the 2008/2009 financial year, copies of the disclosure forms of 19 national departments and 89 provincial departments were received by the PSC on or before the due date of 31 May 2009.

6.1 Disclosures by National Departments

Of the total number of forty-one (41) national departments, only twenty (20) submitted disclosure forms by the due date. The submission of financial disclosure forms on or before the due date by departments in the 2008/2009 financial year is similar to the 2009/2010 financial year *i.e.* 20 although the number of national departments has increased from 37 to 41.

The overall compliance rate has been slightly improving over the last three financial years. A comparison, in percentage terms, of the submission rates by the due date by national departments in respect of the last three financial years is illustrated in **Figure 2** below.

FIGURE 2: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY NATIONAL DEPARTMENTS BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.



As illustrated by **Figure 2** above, for the 2007/2008 financial year, national departments recorded a compliance rate of thirty-eight percent (38%) by the due date of 31 May 2008. This increased slightly by one percent (1%) to thirty-nine percent (39%) during the 2008/2009 financial year. The compliance rate increased by a margin of three percent (3%) to forty-two percent (42%) during the 2009/2010 financial year. Although this indicates an upward trend, it is an unacceptably low compliance rate and is a matter of great concern given that national departments take a lead in policy development and are expected to be trendsetters in as far as compliance with government policy is concerned.

Significant to note is that financial disclosure forms from departments that are involved in big programmes and tenders, for example, the departments of Human Settlements, Energy and Public Works are not submitted timeously. This impedes the PSC's ability to scrutinize their disclosures in order to identify potential conflicts of interest. Given the large tenders awarded by these departments, such lack of compliance may put these departments at great risk to potentially corrupt practices.

A breakdown of National Departments and the status of compliance per department are provided in **Table 3** below. As mentioned before, a worrying trend that emanates from this table is that despite constant reminders, many departments still fail to submit all the disclosure forms by the due date.

TABLE 3: SUBMISSION OF FINANCIAL DISCLOSURES: COMPLIANCE BY NATIONAL DEPARTMENTS (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

Departments	No of SMS Members	No. of Forms Received on the Due Date	No. of Forms Outstanding	Percentage Received
Agriculture, Forestry & Fisheries	66	0	66	0%
Arts and Culture	47	0	47	0%
Basic Education	67	60	7	90%

Communications	87	0	87	0%
Cooperative Governance & Traditional Affairs	112	104	8	93%
Correctional Services	184	0	184	0%
Defence & Military Veterans	311	0	311	0%
Economic Development	7	7	0	100%
Energy	48	0	48	0%
Environmental Affairs	110	83	27	75%
Government Communications and Information System	47	47	0	100%
Health	108	0	108	0%
Higher Education & Training	48	0	48	0%
Home Affairs	105	93	12	89%
Human Settlements	74	0	74	0%
Independent Complaints Directorate	18	15	3	83%
International Relations & Cooperation	227	0	227	0%
Justice and Constitutional Development	166	0	166	0%
Labour	86	76	10	88%
Mineral Resources	74	54	20	73%
National Prosecuting Authority	229	0	229	0%
National Treasury	266	253	13	95%
Office of the Public Service Commission	39	38	1	97%
PALAMA	46	0	46	0%
Pensions Administration	37	27	10	73%
Presidency	104	64	40	62%
Public Enterprises	42	40	2	95%
Public Service and Administration	98	0	98	0%
Public Works	131	0	131	0%
Rural Development & Land Reform	118	0	118	0%
Science and Technology	92	87	5	95%
Social Development	111	104	7	94%
South African Police Service	670	663	7	99%
Sport and Recreation	30	26	4	87%
State Security	4	2	2	50%
Statistics South Africa	200	0	200	0%
Tourism	31	0	31	0%
Trade and Industry	200	0	200	0%
Transport	131	0	131	0%
Water Affairs	188	138	50	73%
Women, Youth, Children & People with Disabilities	11	0	11	0%
TOTAL	4770	1981	2789	42%

As indicated above, only twenty (42%) national departments submitted the financial disclosure forms of their SMS members by the due date of 31 May 2010. Only two national departments *i.e.* the Government Communication and Information Systems as well as the Department of Economic Development, recorded a 100% compliance rate by the due date, which is commendable, while twelve (12) other departments recorded a compliance rate that is between

80% and 97% (see **Table 3**).

The fact that fifty-one (51%) of the national departments did not submit the financial disclosure forms of their SMS members by the due date is a serious indictment, which needs to be addressed. Executive Authorities are advised to ensure that disciplinary proceedings are instituted against SMS members who unreasonably fail to comply with the Financial Disclosure Framework as provided for in Chapter 3 of the Public Service Regulations.

6.2 Disclosures by Provincial Departments

As illustrated by **Table 1** the compliance rate for provincial departments as at the due date of 31 May 2010 was only 53%. This is a regression if compared to the compliance rate of the 2008/2009 financial year which was 58%. Given the objective of building integrity and thereby preventing corruption at provincial level the submission of the financial disclosure forms is extremely important as this is the sphere where actual service delivery takes place. The low compliance rate at provincial level is, therefore, a cause for concern.

A breakdown of compliance by provincial departments is provided per province and per department within a province. Illustrations are also provided of the compliance rates in respect of the previous three financial years.

6.2.1 Eastern Cape Province

The submission rate in the Eastern Cape has consistently been within the 50% margin since the 2007/2008 financial year. A comparison of the submission rates by the due date by the Eastern Cape Province in respect of the last three financial years is illustrated in **Figure 3** below.

FIGURE 3: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY EASTERN CAPE PROVINCE BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.



As illustrated by **Figure 3** above, for the 2007/2008 financial year, the Eastern Cape Province recorded a compliance rate of fifty percent (50%) by the due date of 31 May 2008. This increased slightly by seven percent (7%) to fifty-seven percent (57%) during the 2008/2009 financial year. There has been a slight decrease during the 2009/2010 financial year, where the compliance rate decreased by two percent (2%) to fifty-five percent (55%) by the due date.

The submission rate per department for the financial year 2009/2010 is illustrated by **Table 4** below:

TABLE 4: EASTERN CAPE: DISCLOSURE FORMS RECEIVED AND OUTSTANDING (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

Departments	No. of SMS Members	No. of forms Received on the due date	No. of Forms Outstanding	Percentage Received
Agriculture and Rural Development	45	41	4	91%
Economic Development & Environmental Affairs	29	5	24	17%
Education	64	0	64	0%
Health	124	58	66	47%
Human Settlements (Housing)	40	39	1	98%
Local Government & Traditional Affairs	49	42	7	86%
Office of the Premier	48	41	7	85%
Provincial Treasury (Finance)	43	36	7	84%
Roads & Public Works	34	27	7	79%
Safety and Liaison	6	5	1	83%
Social Development	33	0	33	0%
Sports, Recreation, Arts & Culture	22	0	22	0%
Transport	41	25	16	61%
TOTAL	578	319	259	55%

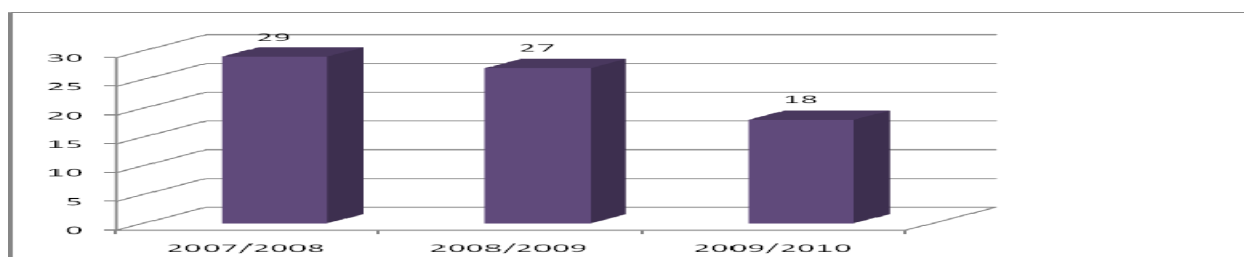
In the Eastern Cape only three departments (Education, Social Development and Sports, Recreation, Arts & Culture) did not submit financial disclosure forms to the PSC by the due date. The compliance rate for the province by the due date is 55%. This is a marginal decrease compared to the 2008/2009 financial year where the compliance rate was 57% by the due date.

As at 31 May 2010 the province had three (3) officials at the level of Director-General of which two (2) submitted their financial disclosure forms. For the same period the province had twenty-nine (29) officials at the level of Deputy Director-General of which twenty-five (25) submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is 84%.

6.2.2 Free State Province

The Free State Province has always been the worst performing province with regard to submitting financial disclosure forms by the due date. The submission rate in this province has never been more than 30% by the due date. In fact it has been gradually decreasing over the last three financial years. This trend is illustrated in **Figure 4** below.

FIGURE 4: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY FREE STATE PROVINCE BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.



As illustrated by **Figure 4** above, for the 2007/2008 financial year, the Free State Province recorded a compliance rate of twenty-nine percent (29%) by the due date of 31 May 2008. This decreased slightly by two percent (2%) to twenty-seven percent (27%) during the 2008/2009 financial year. There has been a huge decline during the 2009/2010 financial year, where the compliance rate dropped by nine percent (9%) to eighteen percent (18%) by the due date.

The submission rate per department for the financial year 2009/2010 is illustrated by **Table 5** below.

TABLE 5: FREE STATE PROVINCE: DISCLOSURE FORMS RECEIVED AND OUTSTANDING (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

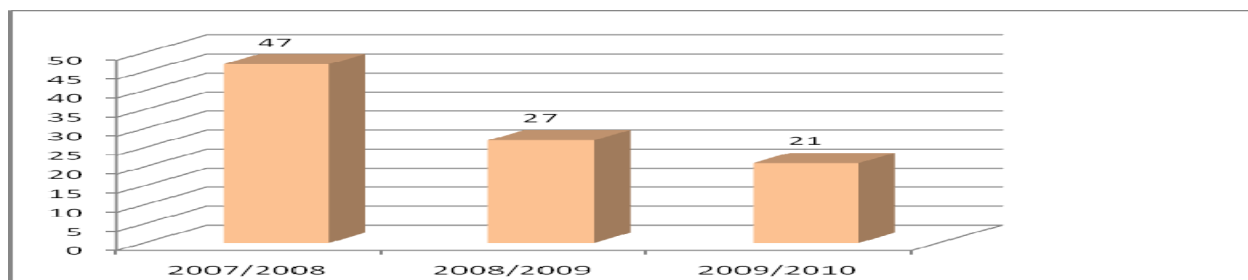
Departments	No. of SMS Members	No. of Forms Received by the due date	No. of Forms Outstanding	Percentage Received
Agriculture	20	14	6	70%
Cooperative Governance & Traditional Affairs and Human Settlements	38	0	38	0%
Economic Development, Tourism & Environmental Affairs	22	0	22	0%
Education	43	0	43	0%
Health	54	0	54	0%
Office of the Premier	30	27	3	90%
Police, Roads & Transport	21	0	21	0%
Provincial Treasury	17	15	2	88%
Public Works & Rural Development	19	0	19	0%
Social Development	26	0	26	0%
Sport, Arts, Culture and Recreation	16	0	16	0%
TOTAL	306	56	250	18%

In the Free State only three (3) departments submitted disclosure forms by the due date. The total number of forms received from the Province is fifty-six (56) out of the three-hundred-and-six (306) that were expected. This is the worst compliance rate for a province recorded this year. Despite only three departments having submitted financial disclosure forms of their SMS members by the due date, none of these departments achieved a 100% compliance rate as required by the Framework. The PSC is of the view that the Executive Authorities of the Province need to address this poor compliance rate as a matter of urgency.

As at 31 May 2010 the province had nineteen (19) officials at the level of Director-General of which twelve (12) submitted their financial disclosure forms. For the same period the province had sixteen (16) officials at the level of Deputy Director-General of which ten (10) submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is 63%.

6.2.3 Gauteng Province

The compliance rate for the Gauteng Province by the due date for the 2009/2010 financial year stands at 21%. This is another province, whose compliance rate has deteriorated as compared to the previous financial years. The compliance rate for Gauteng in respect of the previous financial year (2008/2009) by the due date was 27%, a far cry compared to the 2007/2008 compliance rate, which was 47% by the due date. This comparison is illustrated in **Figure 5** below.

FIGURE 5: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY GAUTENG PROVINCE BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.

As illustrated by **Figure 5** above, for the 2007/2008 financial year, the Gauteng Province recorded a compliance rate of forty-seven percent (47%) by the due date of 31 May 2008. This decreased significantly by twenty percent (20%) to twenty-seven percent (27%) during the 2008/2009 financial year. There has been a marginal decline during the 2009/2010 financial year, where the compliance rate dropped by six percent (6%) to twenty-one (21%) by the due date. If one considers the importance of the framework *i.e.* to manage conflicts of interest, one would expect from the Gauteng Province an increase in the submission rate. As this is not the case, the PSC is of the view that Executive Authorities should exercise greater responsibility by ensuring that SMS members in the province comply with the Framework. If not, the trend in the decline of the submission rate may point to the fact that the management of conflicts of interest may become non-existent, which may lead to an increase in corrupt activities in the province.

The submission rate per department for the financial year 2009/2010 is illustrated by **Table 6** below.

TABLE 6: GAUTENG: DISCLOSURE FORMS RECEIVED AND OUTSTANDING (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

Departments	No. of SMS Members	No. of Forms Received on the due date	No. of Forms Outstanding	Percentage Received
Agriculture & Rural Development	33	33	0	100%
Community Safety	24	24	0	100%
Economic Development & Planning	53	0	53	0%
Education	89	70	19	79%
Finance	124	0	124	0%
Health & Social Development	109	0	109	0%
Infrastructure Development	55	0	55	0%
Local Government & Housing	97	0	97	0%
Office of the Premier	37	0	37	0%
Roads & Public Transport	72	25	47	34%
Sport, Arts, Culture & Recreation	18	0	18	0%
TOTAL	711	152	559	21%

As illustrated in **Table 6** above, the Departments of Agriculture & Rural Development and Community Safety have recorded a 100% compliance rate. In the light of the 21% compliance

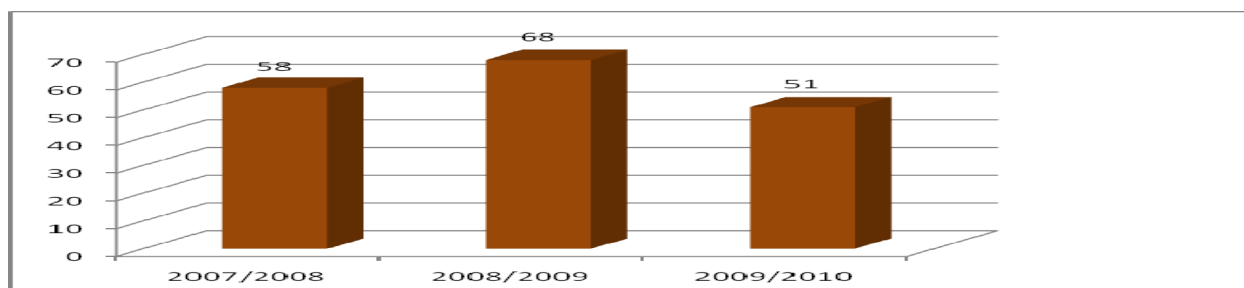
rate by the whole province, this is quite commendable. It should be noted that these departments have consistently been the best performers in Gauteng in terms of complying with the Framework. The PSC is concerned about the departments that did not submit any disclosure forms by the due date. Particularly the Office of the Premier as it is the department that should facilitate such compliance in the Province. Here too, the Premier of the Province need to be apprised of the situation in the Province and be prompted to take appropriate action to remedy the situation.

As at 31 May 2010 the province had seventeen (17) officials at the level of Director-General of which eleven (11) submitted their financial disclosure forms. For the same period the province had fifty-one (51) officials at the level of Deputy Director-General of which thirty-nine (39) submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is 74%.

6.2.4 KwaZulu-Natal Province

The submission rate in the KwaZulu-Natal Province has, since the 2007/2008 financial year, been fluctuating. A comparison of the submission rates by the due date by the Kwazulu-Natal Province in respect of the last three financial years is illustrated in **Figure 6** below.

FIGURE 6: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY KWAZULU-NATAL PROVINCE BY THE 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.



As illustrated by **Figure 6** above, for the 2007/2008 financial year, the KwaZulu-Natal Province recorded a compliance rate of fifty-eight percent (58%) by the due date of 31 May 2008. This increased by ten percent (10%) to sixty-eight percent (68%) during the 2008/2009 financial year. There has been a drastic decline during the 2009/2010 financial year, where the compliance rate dropped by seventeen percent (17%) to fifty-one percent (51%) by the due date.

The submission rate per department for the financial year 2009/2010 is illustrated by **Table 7** below.

TABLE 7: KWAZULU-NATAL: DISCLOSURE FORMS RECEIVED AND OUTSTANDING (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

Departments	No. of SMS Members	No. of Forms Received on the due date	No. of Forms Outstanding	Percentage Received
Agriculture, Environmental Affairs & Rural Development	43	0	43	0%
Arts, Culture, Sport & Recreation	39	10	29	26%
Economic Development & Tourism	31	0	31	0%
Education	89	56	33	63%

Departments	No. of SMS Members	No. of Forms Received on the due date	No. of Forms Outstanding	Percentage Received
Finance	41	40	1	98%
Health	70	0	70	0%
Human Settlements & Public Works	42	36	6	86%
Local Government & Traditional Affairs; and	36	36	0	100%
Royal Household	1	0	1	0%
Office of the Premier	44	41	3	93%
Social Development	35	0	35	0%
Transport & Community Safety & Liaison	44	44	0	100%
TOTAL	515	263	252	51%

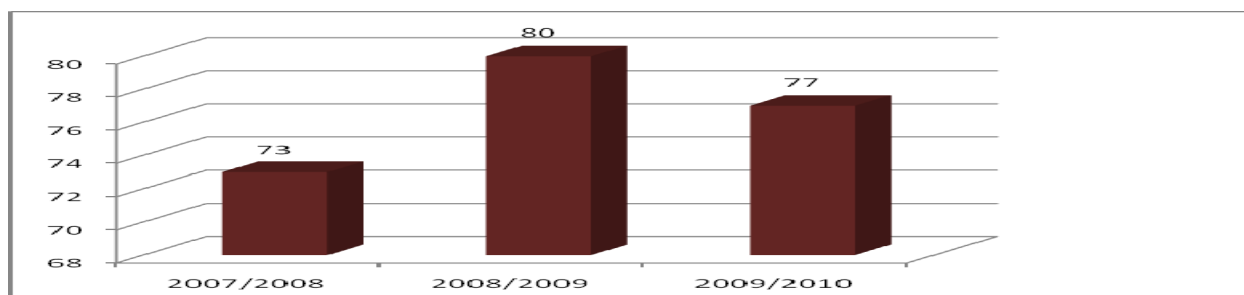
For the 2009/2010 financial year KwaZulu-Natal achieved 51% in the submission of the disclosure forms by the due date. This is attributed to the fact that only seven (7) of the twelve (12) departments submitted their financial disclosure forms by the due date. While five departments failed to submit their disclosure forms by the due date, only two of the seven that have submitted achieved a 100% compliance rate. As illustrated in **Table 7**, departments that performed better, albeit not achieving a 100% compliance rate are the Departments of Finance (98%), Office of the Premier (93%) and Human Settlements & Public Works (86%). The Departments of Agriculture, Environmental Affairs & Rural Development, Economic Development & Tourism, Health, Social Development and the Royal Household did not submit their financial disclosure forms by the due date and, therefore, a zero compliance rate by the due date.

As at 31 May 2010 the province had twelve (12) officials at the level of Director-General of which eight (8) submitted their financial disclosure forms. For the same period the province had twenty-six (26) officials at the level of Deputy Director-General of which seventeen (17) submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is 66%.

6.2.5 Limpopo Province

The submission rate of the Limpopo Province has consistently been above the 70% margin since the 2007/2008 financial year. A comparison of the submission rates by the due date by the Limpopo Province in respect of the last three financial years is illustrated in **Figure 7** below.

FIGURE 7: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY LIMPOPO PROVINCE BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.



As illustrated by **Figure 7** above, for the 2007/2008 financial year, the Limpopo Province recorded a compliance rate of seventy-three percent (73%) by the due date of 31 May 2008. This increased by seven percent (7%) to eighty percent (80%) during the 2008/2009 financial year. There has been a marginal decline during the 2009/2010 financial year, where the compliance rate dropped by seven percent (7%) to seventy-seven percent (77%) by the due date.

The submission rate per department for the 2009/2010 financial year is illustrated by **Table 8** below.

TABLE 8: LIMPOPO PROVINCE: DISCLOSURE FORMS RECEIVED AND OUTSTANDING (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

Departments	No. of SMS Members	No. of forms Received on the due date	No. of Forms Outstanding	Percentage Received
Agriculture	38	37	1	97%
Economic Development, Environment and Tourism	37	34	3	92%
Education	52	0	52	0%
Health and Social Development	64	33	31	52%
Local Government and Housing	38	36	2	95%
Office of the Premier	81	81	0	100%
Provincial Treasury	41	41	0	100%
Public Safety & Liaison	14	13	1	93%
Public Works	26	26		100%
Roads & Public Transport	33	22	11	67%
Sport, Arts and Culture	16	16	0	100%
TOTAL	440	339	101	77%

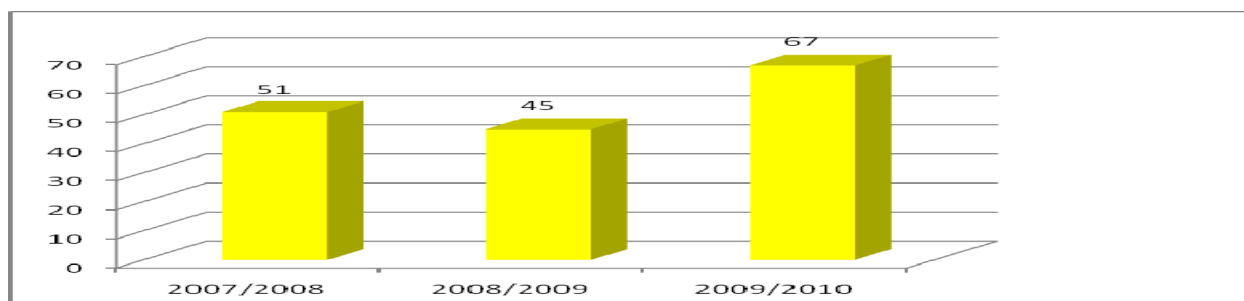
The compliance rate of the Limpopo Province stands at 77% by the due date. This implies that there is still room for improvement. To this end, departments should submit all their financial disclosure forms by the due date. As illustrated by **Table 8**, there is only one Department (Education) in the Limpopo Province that did not submit financial disclosure forms by the due date. The PSC commends the Office of the Premier, the Provincial Treasury and the Department of Sport, Arts & Culture for achieving a 100% compliance rate. Generally the compliance rate in Limpopo is satisfactory considering that the submission rate per department ranges between 52% and 100%. Four departments recorded a compliance rate of 92% and above.

As at 31 May 2010 the province had four (4) officials at the level of Director-General of which three (3) submitted their financial disclosure forms. For the same period the province had thirty-one (31) officials at the level of Deputy Director-General of which twenty-six (26) submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is 83%.

6.2.6 Mpumalanga Province

The submission rate in the Mpumalanga Province has also been fluctuating since the 2007/2008 and 2008/2009 financial years. A comparison of the submission rates by the due date by the Mpumalanga Province in respect of the last three financial years is illustrated in **Figure 8** below.

FIGURE 8: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY MPUMALANGA PROVINCE BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.



As illustrated by **Figure 8** above, for the 2007/2008 financial year, the Mpumalanga Province recorded a compliance rate of fifty-one percent (51%) by the due date of 31 May 2008. This declined slightly by six percent (6%) to forty-five percent (45%) during the 2008/2009 financial year. There has been a significant improvement during the 2009/2010 financial year, where the compliance rate increased by twenty-two percent (22%) to sixty-seven percent (67%) by the due date. As compared to other provinces, this is the highest growth rate in terms of the submission of disclosure forms by the due date. It is trusted that this growth rate will be maintained in the years to come.

The submission rate per department for the 2009/2010 financial year is illustrated by **Table 9** below.

TABLE 9: MPUMALANGA: DISCLOSURE FORMS RECEIVED AND OUTSTANDING (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

Departments	No. of SMS Members	No. of forms Received on the due date	No. of Forms Outstanding	Percentage Received
Agriculture, Rural Development & Land Administration	24	17	7	71%
Community Safety, Security & Liaison	13	4	9	31%
Cooperative Governance & Traditional Affairs	22	19	3	86%
Culture, Sport and Recreation	10	10	0	100%
Economic Development, Environment & Tourism	31	17	14	55%
Education	34	29	5	85%
Finance	18	14	4	78%
Health and Social Development	56	43	13	77%
Human Settlements	14	8	6	57%
Office of Premier	39	0	39	0%
Public Works, Roads & Transport	61	54	7	89%
TOTAL	322	215	107	67%

The Mpumalanga Province recorded a 67% compliance rate. The Department of Culture, Sport & Recreation is the only department that achieved a 100% compliance rate by the due date in

the province and should be commended for this. The Office of the Premier did not submit a single financial disclosure form by the due date. It must be noted that for three consecutive years, the Office of the Premier has failed to submit the disclosure forms by the due date (please refer also to **Table 2** above). This is an indictment considering its role as a coordinating department in the Province. The Office of the Premier is supposed to facilitate compliance with the regulatory framework within the Province and is expected to lead by example. This is certainly not happening in Mpumalanga.

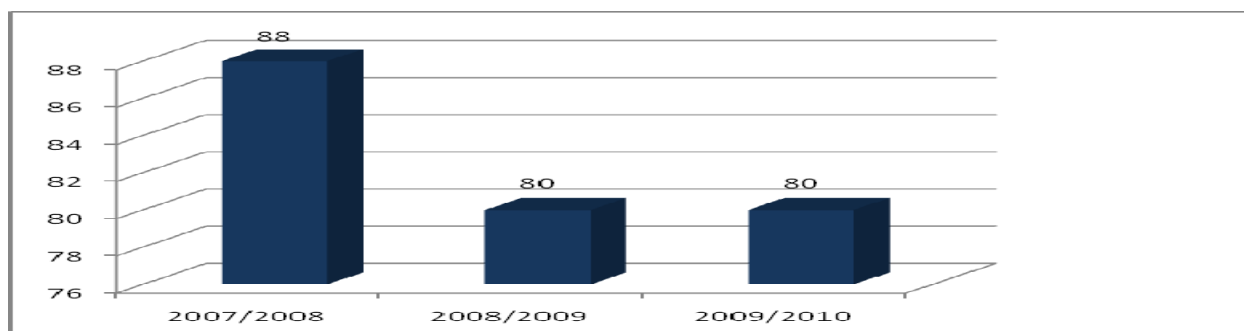
There has been an improvement in the compliance rate as compared to the 2008/2009 financial year, where the compliance rate in this Province was 45% by the due date. However, this is still below what is required from the province.

As at 31 May 2010 the province had six (6) officials at the level of Director-General of which three (3) submitted their financial disclosure forms. For the same period the province had fifteen (15) officials at the level of Deputy Director-General of which eleven (11) submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is 67%.

6.2.7 Northern Cape Province

The submission rate (by the due date) of the Northern Cape Province has consistently remained within the 80% margin since the 2007/2008 financial year. A comparison of the submission rates by the due date by the Northern Cape Province in respect of the last three financial years is illustrated in **Figure 9** below:

FIGURE 9: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY NORTHERN CAPE PROVINCE BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.



As illustrated by **Figure 9** above, for the 2007/2008 financial year, the Northern Cape Province recorded a compliance rate of eighty-eight percent (88%) by the due date of 31 May 2008. There has been a marginal decline by eight percent (8%) to eighty percent (80%) during the 2008/2009 financial year. No decline or improvement was recorded during the financial year 2009/2010, as the compliance rate remain the same at eighty percent (80%) by the due date.

The submission rate per department for the 2009/2010 financial year is illustrated by **Table 10** below.

TABLE 10: NORTHERN CAPE: DISCLOSURE FORMS RECEIVED AND OUTSTANDING (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

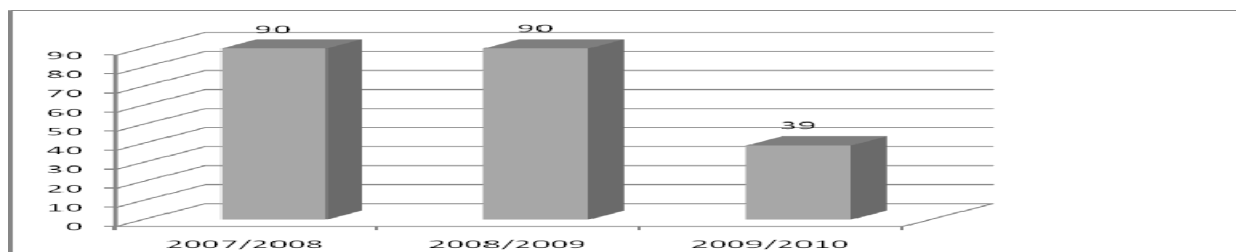
Departments	No. of SMS Members	No. of forms Received on the due date	No. of Forms Outstanding	Percentage Received
Agriculture, Land Reform & Rural Development	13	12	1	92%
Cooperative Governance, Human Settlement & Traditional Affairs	23	22	1	96%
Education	25	22	3	88%
Environmental Affairs & Nature Conservation	9	9	0	100%
Finance, Economic Affairs & Tourism	37	33	4	89%
Health	22	20	2	91%
Office of Premier	24	23	1	96%
Roads & Public Works	13	13	0	100%
Social Services & Population Development	18	0	18	0%
Sport, Arts & Culture	9	0	9	0%
Transport, Safety & Liaison	6	6	0	100%
TOTAL	199	160	39	80%

The Northern Cape Province has for the second time in a row, recorded a compliance rate of 80%. The PSC would like to emphasize that while this is better than some of the provinces, this performance can still improve. Concerted efforts need to be taken to ensure that departments submit all their financial disclosure forms by the due date. Strong action needs to be taken against defaulters such as the Departments of Social Services & Population Development and Transport, Safety & Liaison, who by the due date had not submitted a single form. As can be seen from **Table 10**, only three (3) of the eleven (11) departments achieved a 100% compliance rate by the due date and must be commended. Another positive indicator in respect of the Northern Cape Province is that the submission rate of those departments that submitted financial disclosure forms by the due date ranges from 88% to 96%. Had it not been for the defaulters, the outlook could have been better. Therefore, Executive Authorities need to take action against defaulters.

As at 31 May 2010 the province had no official at the level of Director-General. For the same period the province had nine (9) officials at the level of Deputy Director-General of which eight (8) submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is eighty-nine percent (89%).

6.2.8 North West Province

The submission rate in the North West Province has remained at the 90% margin for both the 2007/2008 and 2008/2009 financial years. There has been a significant decline of the compliance rate during 2009/2010 financial year. A comparison of the submission rates by the due date by the North West Province in respect of the last three financial years is illustrated in **Figure 10** below.

FIGURE 10: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY NORTH WEST PROVINCE BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.

As illustrated by **Figure 10** above, for the 2007/2008 financial year, the North West Province recorded a compliance rate of ninety percent (90%) by the due date of 31 May 2008. The same compliance rate was recorded by the due date of the 2008/2009 financial year. There has been a significant decline by fifty-one percent (51%) to thirty-nine percent (39%) during the 2009/2010 financial year. Compared to other provinces, the North West Province has the highest decline in terms of the submission of financial disclosure forms.

The submission rate per department for the 2009/2010 financial year is illustrated by **Table 11** below.

TABLE 11: NORTH WEST: DISCLOSURE FORMS RECEIVED AND OUTSTANDING (BY THE DUE DATE) FOR THE 2009/2010 FINANCIAL YEAR

Departments	No. of SMS Members	No. of forms Received on the due date	No. of Forms Outstanding	Percentage Received
Agriculture, Conservation, Environment & Rural Development	27	24	3	89%
Economic Development and Tourism	14	0	14	0%
Education	40	35	5	88%
Finance	22	19	3	86%
Health & Social Development	57	16	41	28%
Human Settlement	12	0	12	0%
Local Government & Traditional Affairs	17	0	17	0%
Office of the Premier	28	0	28	0%
Public Safety	15	14	1	93%
Public Works, Roads & Transport	31	0	31	0%
Sport, Arts & Culture	17	0	17	0%
TOTAL	280	108	172	39%

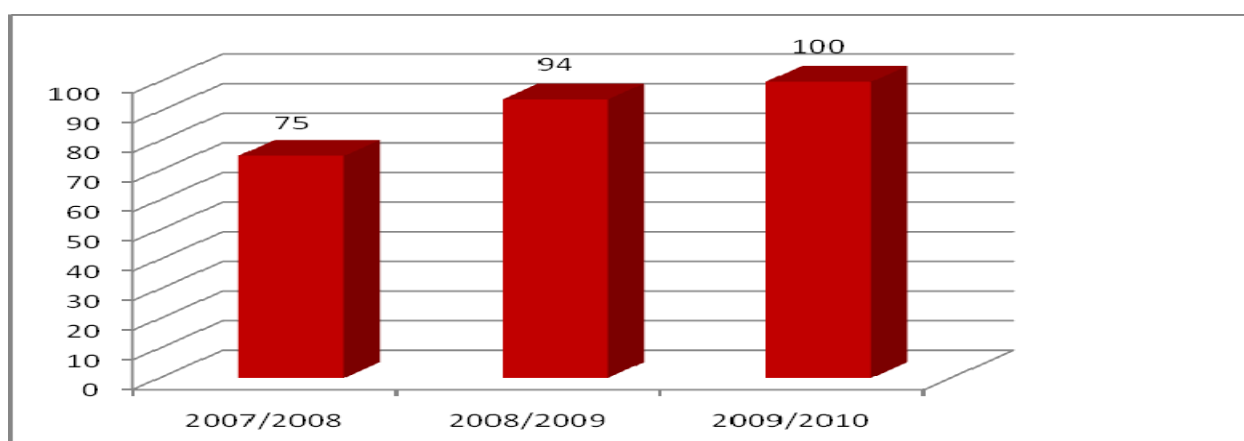
The North West Province has for the first time recorded a disappointing 39% compliance rate. This is a far cry compared to the 90% that was recorded in the 2008/2009 financial year. Also disconcerting is the fact that the Office of the Premier happens to be one of the defaulters. This is totally unacceptable considering the important coordinating role played by the Office of the Premier. This Office needs to lead by example and assist the PSC by facilitating compliance with the regulatory framework. As illustrated in **Table 11**, in the North West, like in other provinces, departments that are responsible for issuing tenders worth large sums of money are some of those that did not comply with the Framework. These are the Departments of Economic Development & Tourism, Human Settlements, Local Government & Traditional Affairs and Public Works, Roads and & Transport.

As at 31 May 2010 the province had three (3) officials at the level of Director-General of which one (1) submitted his/her financial disclosure form. For the same period the province had twelve (12) officials at the level of Deputy Director-General of which three (3) submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is only 27%.

6.2.9 Western Cape Province

The submission rate in the Western Cape Province has consistently improved since the 2007/2008 financial year. The compliance rate reached the 100% mark for the 2009/2010 financial year. This is the first province to achieve 100% compliance rate by the due date of 31 May. A comparison of the submission rates by the due date by the Western Cape Province in respect of the last three financial years is illustrated by **Figure 11** below.

FIGURE 11: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY WESTERN CAPE PROVINCE BY 31 MAY FOR THE 2007/2008, 2008/2009 AND 2009/2010 FINANCIAL YEARS.



As illustrated by **Figure 11** above, for the 2007/2008 financial year, the Western Cape Province recorded a compliance rate of seventy-five percent (75%) by the due date of 31 May 2008. This increased significantly by nineteen percent (19%) to ninety-four percent (94%) during the 2008/2009 financial year. The province achieved a hundred percent (100%) compliance rate during the 2009/2010 financial year and as indicated, is the first province in the history of the Framework to achieve a 100% compliance rate by the due date of 31 May.

The submission rate per department for the 2009/2010 financial year is illustrated by **Table 12** below.

TABLE 12: WESTERN CAPE: DISCLOSURE FORMS RECEIVED AND OUTSTANDING BY THE DUE DATE FOR THE 2009/2010 FINANCIAL YEAR

Departments	No. of SMS Members	No. of forms Received	No. of Forms Outstanding	Percentage Received
Agriculture	18	18	0	100%
Community Safety	17	17	0	100%
Cultural Affairs and Sport	10	10	0	100%
Education	54	54	0	100%
Economic Development & Tourism	15	15	0	100%
Environmental Affairs and Development Planning	12	12	0	100%
Health	44	44	0	100%

Departments	No. of SMS Members	No. of forms Received	No. of Forms Outstanding	Percentage Received
Local Government and Housing	25	25	0	100%
Office of the Premier	43	43	0	100%
Provincial Treasury	22	22	0	100%
Social Development	15	15	0	100%
Transport and Public Works	37	37	0	100%
TOTAL	312	312	0	100%

The Western Cape Province is commended for its consistent good performance in complying with the Framework. The PSC is of the view that if one province can achieve a 100% compliance rate by the due date, then it is possible that all provinces and national departments could achieve it. Therefore, all departments should take note of the achievement of the Western Cape.

As at 31 May 2010 the province had five (5) officials at the level of Director-General of which all submitted their financial disclosure forms. For the same period the province had twenty-two (22) officials at the level of Deputy Director-General. All of these officials submitted their financial disclosure forms. Hence, the compliance rate for top management in the province is one hundred percent (100%).

6.3. Submission of financial disclosure forms by Directors-General

The compliance rate by the due date of 31 May of the 2009/2010 financial year, by the Directors-General (DGs) for national departments and provinces is not satisfactory. This is illustrated by **Table 13** below.

TABLE 13: SUBMISSION OF THE FINANCIAL DISCLOSURE FORMS BY THE DUE DATE OF 31 MAY BY DIRECTORS-GENERAL FOR THE 2009/2010 FINANCIAL YEAR

NAME OF DEPARTMENT	NAME OF DIRECTOR – GENERAL	RECEIVED BY 31 MAY 2010	OUTSTANDING AS AT 31 May 2010
Agriculture, Forestry and Fisheries	Mr P. Thabethe (Acting)		✓
Arts and Culture	Mr T. Wakashe		✓
Basic Education	Mr P.B. Soobrayan		✓
Cooperative Governance & Traditional Affairs	Mr E.I. Africa	✓	
Communications	Ms M. Mohlala		✓
Correctional Services	Mr T.S. Moyane		✓ ¹
Defence & Military Veterans	Ms M. Mpofo		✓
Economic Development	Prof R. Levin	✓	
Energy	Ms N. Magubane		✓
Environmental Affairs	Ms N. N. Ngcaba	✓	
Government Communications and Information System	Mr T. J. Maseko	✓	
Health	Ms M.P. Matsoso		✓
Higher Education and Training	Ms M. Metcalfe		✓
Home Affairs	Mr M. Apleni	✓	
Human Settlements	Mr T. Zulu		✓
Independent Complaints Directorate	Mr F. Beukman	✓	

¹ Submitted as Head of Government Printing Works

NAME OF DEPARTMENT	NAME OF DIRECTOR – GENERAL	RECEIVED BY 31 MAY 2010	OUTSTANDING AS AT 31 May 2010
International relations & Cooperation	Dr A. Ntsaluba		✓
Justice and Constitutional Development	Ms N. Msomi		✓
Labour	Mr J. Manyi	✓	
Minerals Resources	Adv S. Nogxina	✓	
National Prosecuting Authority	Adv M. Simelane		✓
National Treasury	Mr L. Kganyago	✓	
Office of the Public Service Commission	Mr M.J. Diphofa	✓	
PALAMA	Prof. L.S. Mollo		✓
Military Veterans	Mr T. Motumi		✓
Presidency	Mr W.V. Mavimbela	✓	
Public Enterprises	Dr A. Shaw (Acting)		✓
Public Service and Administration	Mr.K. Govender (Acting)		✓
Public Works	Mr S. Vukela (Acting)	✓	
Rural Development and Land Reform	Mr T.T. Gwanya		✓
SAPS	General B. Cele	✓	
Science and Technology	Dr. P. Mjwara	✓	
Social Development	Mr. V. P. Madonsela	✓	
Sport and Recreation	Mr V. Peterson	✓	
State Security	Mr J. Maqetuka		✓
Statistics South Africa	Mr P.J. Lehohla		✓
Tourism	Mr K. Makhubela		✓
Trade and Industry	Mr T.J. Matona		✓
Transport	Mr M.G. Mahlalela		✓
Water Affairs	Mr P.B. Yako		✓
Women, Youth, Children and People with Disabilities	Mr K. Terry (Acting)	✓	
Eastern Cape	Dr. S. Muthwa	✓	
Free State	Dr M.C. Nwaila	✓	
Gauteng	Ms Diedericks (Acting)	✓	
KwaZulu-Natal	Mr A. Govender (Acting)	✓	
Limpopo	Dr H.N. Manzini	✓	
Mpumalanga	Mr J.S. Mgidi (Acting)		✓
North West	Ms N. Magomola		✓
Northern Cape	Ms MNP Mokhali (Acting)	✓	
Western Cape	Adv B. Gerber	✓	
TOTAL	50	24	26

As illustrated by **Table 13** above, as at 31 May 2010, fifty (50) officials were occupying posts as DGs. These officials were serving as Heads of Department in their respective departments and provincial administrations. From these officials only twenty-five (24) submitted their financial disclosure forms by the due date. The financial disclosure forms of twenty-six DGs were outstanding by the due date. The non-submission and late submission of disclosure forms by DGs is a cause for concern. As Accounting Officers, DGs (HoDs) should set the example in

ethical behaviour in departments. They should promote transparency by, *inter alia*, submitting their financial disclosure forms timeously. This would be an indication to other officials that they have nothing to hide and senior managers should follow such example. In fact all HoDs are entrusted with public funds and as such, they need to maintain the highest standard of integrity. Their actions and those of their departments should be beyond reproach in order to engender public trust. HoDs can only engender public trust if they are transparent. This can be achieved by disclosing their financial interests and submitting their disclosure forms to their Executive Authorities timeously.

7. Conclusion

The consistent decline in the compliance rate to the Framework by some of the national departments and provinces is a cause for concern for the PSC. Senior managers are entrusted with public funds and, therefore, they need to demonstrate a high level of professional ethics by disclosing their financial interests. The submission of financial disclosure forms by the due date is a regulatory requirement and compliance therewith is a sign of good governance. By complying with all the regulatory requirements that govern public administration, particularly those relating to the promotion and maintenance of professional ethics, senior managers will not only ensure accountability but also promote the ideals of an integrity driven Public Administration.

The PSC has noted with concern that in many instances designated officials submit their financial disclosure forms by 30 April to their respective Executive Authorities. However, the Executive Authorities delay in submitting the forms to the PSC. On perusal of the disclosure forms it has been found that on forms submitted by some departments there is a significant lapse of time where designated officials have signed their forms and the date on which the forms were signed by the Executive Authority. The obligation to submit the disclosure forms to the PSC rests with the Executive Authority. **If the disclosure forms are not submitted timeously, it places the PSC in a position where it would have to summons an Executive Authority for failure to comply with the Framework.**

ANNEXURE A**NUMBER OF FINANCIAL DISCLOSURE FORMS RECEIVED FOR THE FINANCIAL YEAR: 2009-2010: STATISTICS AS AT 31 OCTOBER 2010****NATIONAL DEPARTMENTS**

NAME OF DEPARTMENT	NUMBER OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture, Forestry & Fisheries	96	92	4	96%
Arts and Culture	48	39	9	81%
Basic Education	68	61	7	90%
Communications	88	84	4	95%
Cooperative Governance & Traditional Affairs	112	107	5	96%
Correctional Services	194	165	29	85%
Defence & Military Veterans	311	0	311	100%
Economic Development	7	7	0	100%
Energy	51	6	45	12%
Environmental Affairs	113	86	27	76%
Government Communications and Information System	47	47	0	100%
Health	110	83	27	75%
Higher Education & Training	52	46	6	88%
Home Affairs	105	93	12	89%
Human Settlements	78	71	7	91%
Independent Complaints Directorate	18	15	3	83%
International Relations & Cooperation	227	10	217	4%
Justice and Constitutional Development	166	151	15	91%
Labour	85	80	5	94%
Mineral Resources	76	63	13	83%
National Prosecuting Authority	229	190	39	83%
National Treasury	272	262	10	96%
Office of the Public Service Commission	39	38	1	97%
PALAMA	47	35	12	74%
Pensions Administration	34	28	6	82%
Presidency	104	77	27	74%
Public Enterprises	42	40	2	95%
Public Service and Administration	98	89	9	91%
Public Works	130	120	10	92%
Rural Development & Land Reform	119	81	38	68%
Science and Technology	93	93	0	100%
Social Development	111	109	2	98%
South African Police Service	693	688	5	99%
Sport and Recreation	30	26	4	87%
State Security	4	4	0	100%
Statistics South Africa	200	157	43	79%
Tourism	36	33	3	92%
Trade and Industry	201	178	23	89%
Transport	131	108	23	82%
Water Affairs	188	155	33	82%
Women, Youth, Children & People with Disabilities	11	11	0	100%
TOTAL	4864	3828	1036	79%

EASTERN CAPE PROVINCE

NAME OF DEPARTMENT	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture and Rural Development	46	46	0	100%
Economic Development & Environmental Affairs	29	9	20	31%
Education	73	67	6	96%
Health	130	95	35	73%
Human Settlements (Housing)	40	39	1	98%
Local Government & Traditional Affairs	49	42	7	86%
Office of the Premier	44	44	4	92%
Provincial Treasury (Finance)	50	49	1	96%
Roads & Public Works	34	27	7	79%
Safety and Liaison	6	6	0	100%
Social Development	33	33	0	100%
Sports, Recreation, Arts & Culture	22	22	0	100%
Transport	43	41	2	95%
TOTAL	599	520	83	86%

FREE STATE PROVINCE

NAME OF DEPARTMENT	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture	20	14	6	70%
Cooperative Governance & Traditional Affairs and Human Settlements	38	37	1	97%
Economic Development, Tourism & Environmental Affairs	22	22	0	100%
Education	43	31	12	72%
Health	54	44	10	81%
Office of the Premier	30	27	3	90%
Police, Roads & Transport	21	20	3	95%
Provincial Treasury	17	15	2	88%
Public Works & Rural Development	19	16	3	84%
Social Development	26	25	1	96%
Sport, Arts, Culture and Recreation	16	11	5	69%
TOTAL	306	262	46	86%

GAUTENG PROVINCE

NAME OF THE DEPARTMENT	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture & Rural Development	33	33	0	100%
Community Safety	24	24	0	100%
Economic Development & Planning	54	44	10	81%
Education	90	87	3	97%
Finance	128	127	1	99%
Health & Social Development	128	124	4	97%
Infrastructure Development	55	53	2	96%
Local Government & Housing	84	79	5	94%
Office of the Premier	37	34	3	92%
Roads & Public Transport	74	56	18	76%
Sport, Arts, Culture & Recreation	18	18	0	100%
TOTAL	725	679	46	94%

KWAZULU-NATAL PROVINCE

NAME OF DEPARTMENT	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture, Environmental Affairs & Rural Development	43	43	0	100%
Arts, Culture, Sport & Recreation	39	39	0	100%
Economic Development & Tourism	31	26	5	84%
Education	89	79	10	89%
Finance	41	41	0	100%
Health	109	99	10	91%
Human Settlements & Public Works	42	38	4	90%
Local Government & Traditional Affairs	36	36	0	100%
Royal Household	1	0	1	0%
Office of the Premier	48	42	6	88%
Social Development	35	24	11	69%
Transport & Community Safety & Liaison	44	44	0	100%
TOTAL	558	511	47	92%

LIMPOPO PROVINCE

NAME OF DEPARTMENT	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture	38	37	1	97%
Economic Development, Environment and Tourism	37	37	0	100%
Education	52	52	0	100%
Health and Social Development	64	60	4	94%
Local Government and Housing	38	38	0	100%
Office of the Premier	81	81	0	100%
Provincial Treasury	41	41	0	100%
Public Safety & Liaison	14	14	0	100%
Public Works	26	26	0	100%
Roads & Public Transport	33	31	2	94%
Sport, Arts and Culture	16	16	0	100%
TOTAL	440	433	7	98%

MPUMALANGA PROVINCE

NAME OF DEPARTMENT	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture, Rural Development & Land Administration	24	21	3	88%
Community Safety, Security & Liaison	13	13	0	100%
Cooperative Governance & Traditional Affairs	22	21	1	95%
Culture, Sport and Recreation	10	10	0	100%
Economic Development, Environment & Tourism	31	29	2	94%
Education	34	32	2	94%
Finance	18	18	0	100%
Health and Social Development	56	56	0	100%
Human Settlements	14	13	1	93%
Office of Premier	39	31	8	79%
Public Works, Roads & Transport	61	57	4	93%
TOTAL	322	301	21	93%

NORTHERN CAPE PROVINCE

NAME OF DEPARTMENT	NUMBER OF SMS MEMBERS	NUMBER OF FORMS RECEIVED	NUMBER OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture, Land Reform & Rural Development	13	13	0	100%
Cooperative Governance, Human Settlement & Traditional Affairs	24	23	1	96%
Education	28	28	0	100%
Environmental Affairs & Nature Conservation	9	9	0	100%
Finance, Economic Affairs & Tourism	38	37	1	97%
Health	21	21	0	100%
Office of Premier	24	23	1	96%
Transport, Roads & Public Works	13	13	0	100%
Social Services & Population Development	18	18	0	100%
Sport, Arts & Culture	9	8	1	89%
Safety & Liaison	6	6	0	100%
TOTAL	203	199	4	98%

NORTH WEST PROVINCE

NAME OF DEPARTMENT	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture, Conservation, Environment & Rural Development	27	27	0	100%
Economic Development and Tourism	14	14	0	100%
Education	40	35	5	88%
Finance	22	22	0	100%
Health & Social Development	57	37	20	65%
Human Settlement	12	12	0	100%
Local Government & Traditional Affairs	17	17	0	100%
Office of the Premier	28	27	1	96%
Public Safety	15	15	0	100%
Public Works, Roads & Transport	31	29	2	94%
Sport, Arts & Culture	17	15	2	88%
TOTAL	280	250	30	89%

WESTERN CAPE PROVINCE

NAME OF DEPARTMENT	NO. OF SMS MEMBERS	NO. OF FORMS RECEIVED	NO. OF FORMS OUTSTANDING	PERCENTAGE RECEIVED
Agriculture	18	18	0	100%
Community Safety	17	17	0	100%
Cultural Affairs and Sport	10	10	0	100%
Education	54	54	0	100%
Economic Development & Tourism	15	15	0	100%
Environmental Affairs and Development Planning	12	12	0	100%
Health	44	44	0	100%
Local Government and Housing	25	25	0	100%
Office of the Premier	43	43	0	100%
Provincial Treasury	22	22	0	100%
Social Development	15	15	0	100%
Transport and Public Works	37	37	0	100%
TOTAL	312	312	0	100%